

FORM PTO-1390  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

72.0

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/019889

INTERNATIONAL APPLICATION NO.

PCT/EP00/05263

INTERNATIONAL FILING DATE

7 June 2000

PRIORITY DATE CLAIMED

29 June 1999

TITLE OF INVENTION *Type Plate Comprising a Storage Device*

APPLICANT(S) FOR DO/EO/US Wacker-Werke GmbH &amp; Co. KG,

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. (if known, fee 37 CFR 1.10)

INTERNATIONAL APPLICATION NO.  
PCT/EP00/05263ATTORNEY'S DOCKET NUMBER  
72.05421. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1040.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO ..... \$740.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	7 - 20 =	0	X \$18.00	\$ 0.00
Independent claims	1 - 3 =	0	X \$84.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$ 0.00

**TOTAL OF ABOVE CALCULATIONS =**☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are  
reduced by 1/2.**SUBTOTAL =**Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.49(f)).**TOTAL NATIONAL FEE =**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +**TOTAL FEES ENCLOSED =**

Amount to be: refunded	\$
charged	\$

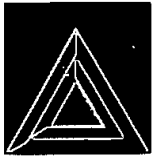
- a. ☒ A check in the amount of \$ 930.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 50-1170 in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 50-1170. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO

SIGNATURE:

Timothy E. Newholm  
NAME34400  
REGISTRATION NUMBER



BOYLE  
FREDRICKSON  
NEWHOLM  
STEIN & GRATZ SC

JCO7 Rec'd PCT/PTO 28 DEC 2001  
10/019889

SUITE 1030

250 EAST WISCONSIN AVENUE

MILWAUKEE, WI 53202

PHONE: 414.225.9755 FAX: 414.225.9753

E-MAIL: MAIL@BOYLEFRED.COM

INTELLECTUAL PROPERTY LAW

TIMOTHY E. NEWHOLM  
DIRECT DIAL: 414-225-1667  
TEN@BOYLEFRED.COM

December 28, 2001

DO/EO/US

Director of the U.S. of Patent  
and Trademark Office

Box Patent Application  
Washington, D.C. 20231

Re: Utility Patent Application Transmittal  
National Phase of PCT/EP00/05263  
International Filing Date: 7 June 2000  
Inventor: Peter JORDAN (deceased), Frank MEYER-HESSING,  
Michael STEFFEN, Jean BORUN, and Jürgen RÖMING  
Title: *Machine Having a Type Plate Comprising a Storage Device*  
Priority: German Appln. No. 199 29 766.5; Filed 29 June 1999  
Customer Account No.: 23598  
Our Ref.: 72.054

Dear Sir:

Enclosed is our check in the amount of \$930.00 in payment of the government filing fee for the enclosed United States patent application, which application includes 6 pages of specification, 8 claims on 2 sheets, 1 drawing on 1 sheet, an executed Declaration, and a Transmittal Letter to the U.S. Designated/ Elected Office. Also enclosed is a Preliminary Amendment (1) entering headings suggested by the USPTO, (2) eliminating multiple dependencies in the claims and otherwise placing the claims into better conformance with preferred USPTO practice without narrowing the claims, and (3) adding an Abstract.

An Information Disclosure Statement (IDS), Form PTO-1449, and copies of the documents are enclosed.

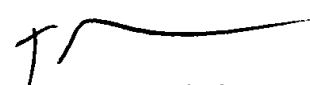
Also enclosed is an Assignment with an executed Appointment of Domestic Representative, which was executed concurrently with the Declaration and which is being filed concurrently with the application, a recordation cover sheet, and our \$40.00 check in payment of the recording fee.

Director of the U.S. Patent  
and Trademark Office  
December 28, 2001  
Page 2

10/019889  
531 Rec'd PCT 28 DEC 2001

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

  
Timothy E. Newholm  
Registration No. 34,400

Enclosures



Customer Account  
Sticker  
23598

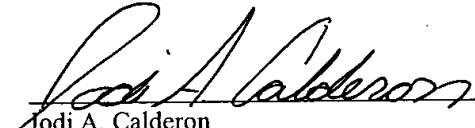
PATENT TRADEMARK OFFICE

Certification Under 37 CFR 1.10

Express Mail Label No: EL922961472US

Date of Deposit: December 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the DO/EO/US Director of the U.S. Patent and Trademark Office, Box Patent Application, Washington, D.C. 20231.

  
Jodi A. Calderon